

2012 NFHAP Project Application and Selection Guide

Southwest Alaska Salmon Habitat Partnership

Summary:

This guidance includes information for preparing and submitting a project proposal through the Southwest Alaska Salmon Habitat Partnership (Partnership), a National Fish Habitat Partnership, to receive funding in support of conservation activities and priorities, as identified in the Draft Strategic Action Plan (www.swakcc.org). The Partnership is seeking project ideas from all partnership organizations. Project descriptions are due via email by close of business on September 30, 2011. Projects will be ranked by the Partnership during the fall, and reviewed and approved by the board of the National Fish Habitat Action Plan (NFHAP) in the winter. Those selected for funding will be announced after funding becomes available with the passage of the fiscal year 2012 Federal budget.

Participants need to prepare and submit proposals that describe their project and its benefits for fish habitat. A project description includes a project summary (Attachment 1), and a full description (Attachment 2).

NFHAP Frequently Asked Questions

Who may apply for funding?

Individuals and organizations that support the goals of the Partnership and that have the capacity to receive federal funds and satisfy funding reporting requirements. Questions about the Partnership can be directed to:

Southwest Alaska Salmon Habitat Partnership
PO Box 1388, Dillingham, Alaska 99576
legacy@swakcc.org (907) 842-2832

What types of projects may be funded?

Habitat-based, cost-shared projects that protect, restore, or enhance fish and aquatic habitats or otherwise directly support habitat-related priorities of the Partnership.

What types of projects may NOT be funded?

Currently, funding for projects comes from the U.S. Fish and Wildlife Service (Service). Funds appropriated to the Service for implementation of NFHAP activities may NOT be expended on: 1) Pre-award costs associated with preliminary design, surveys, and appraisals; 2) Purchase of interests in real property or land use incentive payments to landowners; 3) Operation and maintenance of facilities or buildings; 4) Actions required by existing regulatory programs, except that funds may support activities under voluntary agreements that exceed regulatory

requirements for conserving habitats; 5) Research studies (fish habitat monitoring and assessment projects can be funded as they are not considered research); and 6) Incentive payments. If any of these activities is integral to a project, funds from other sources may be used to support the activities, and those funds may qualify as matching funds. Project funding may not be used for political advocacy of any kind.

Must a proposal address Climate Change?

While all projects are not required to address the impacts of climate change, projects that promote adaptation to climate change are encouraged at the national level. A portion of available funds will be directed to projects that implement goals and objectives for adaptation identified in the Service's strategic plan for responding to climate change (<http://www.fws.gov/home/climatechange/strategy.html>).

Adaptation refers to planned management actions we will take to help reduce the impacts of climate change on fish, wildlife, and their habitats. Our adaptive response to climate change will involve strategic conservation of habitats within sustainable landscapes.

What are the funding limits for a proposal?

There are no set minimums or maximums. The Partnership anticipates receiving between \$80,000 and \$100,000 for FY 2012 projects. In past years, the Partnership has funded 2-3 projects.

Are there cost sharing requirements for a proposal?

We try to secure at least 50 percent of total project costs from partners. This applies to overall funds allocated to a Service Region (the state of Alaska in our case) and does not need to be achieved on every project. Matches may be from both Federal and non-Federal sources and can be in-kind contributions or cash. Project partners are encouraged to increase matching contributions by broadening the scope of partners involved in individual projects.

When are proposals due?

Proposals can be submitted from the date of this announcement to September 30, 2011.

How do I apply for NFHAP funding?

Email a completed proposal in pdf format to david_wigglesworth@fws.gov and "carbon copy" to cecil_rich@fws.gov and john_delapp@fws.gov on or before September 30, 2011. Please ensure you receive confirmation of receipt of your proposal before the deadline. When submitting your proposal please put the following in the subject heading of the email: *2012 Southwest Alaska Salmon Habitat Partnership Proposal*

When will project selections be made?

The Partnership will complete the selection process before the end of calendar year 2011. Projects must be reviewed and approved by a national review board and the Service's Director, a process which typically happens in the first quarter of the year. Finally, funding will become available soon after the Interior Department budget has been signed by the President. This process can be lengthy. For example, in 2011, funds became available in late June.

Is there a format for a NFHAP proposal?

We provide a format in Attachments 1 and 2. Attachment 1 is a one to two page project summary, and attachment 2 is a three to eight page full description, including maps, photos, and drawings. Use a readable font size (11 – 12) for both documents. The full description will be used by the Partnership Steering Committee for project ranking. The project summary will be used by the national review team, as they must review over 100 projects. Budget tables, maps, drawings, and photos will be submitted as attachments and not count as part of the 2 pages of the summary.

What criteria are used to score proposals and how will proposals be selected?

The Partnership will rank the projects using the ranking criteria in Attachment 3. Top projects will be recommended by the Partnership for funding. A national panel will review proposals and provide recommendations to the National NFHAP Board and the Service's Director for funding. The Director will make the final determination for approval.

What do I need to know to get started after my project is selected for funding?

Funds for projects are processed through the Service, and are paid on a reimbursable basis. A Service project cooperative agreement will be completed with successful applicants by the appropriate Service staff.

Funding recipients are required to obtain a DUNS number when completing grant paperwork before returning it to the Service for processing. Contact Dun and Bradstreet at the dedicated toll-free DUNS number request line at 1-866-705-5711 or online <https://eupdate.dnb.com/requestoptions/government/ccrreg/>

Register that specific DUNS number in the "Business Partnership Network / Central Contractor Registry" (CCR) and confirm accuracy of registry information annually thereafter. Visit <http://www.ccr.gov> and click on "Register in CCR." FWS does not administer the CCR database. Direct all questions to the CCR Assistance Center at 1-888-227-2423. Active registrant status in CCR must be maintained for the entire lifespan of this grant award.

Recipients will also need to complete and submit the Standard Form 424 Application for Federal Assistance (SF-424).

The Department of the Interior (DOI) is requiring the Service to begin using a new Financial and Business Management System (FBMS) on November 7, 2011. Under this system, funding recipients will be required to use the Department of the Treasury's payment system called the Automated Standard Application for Payments (ASAP), an online payment system. If your organization is already participating in ASAP for another federal agency, you will still need to provide this information to the Service and indicate your ASAP ID. The form is available at: www.fws.gov/fbms. After the Service verifies your enrollment, you will enter your bank account information into ASAP. When completed, your Service awards will be connected to your current ASAP account

Attachment 1 – Project Summary

Organization and Contact Person: E-mail: _____ Phone Number: _____ Project Title (95 characters maximum): _____		
Funding Request: _____	Match or In-kind: _____	Project Total: _____
Project Location: _____		
Proposed Accomplishment Summary (500 characters maximum): (please identify specific watershed in which work will take place)		
<i>Project Description</i>		
The importance to the resource (350 characters maximum):		
The resource issue (problem, threat or need) and the specific cause of the issue (350 characters maximum):		
The objective of the project with reference to the issue (350 characters maximum):		
The method applied to accomplish the objective (350 characters maximum):		
Additional information, including outcomes/benefits to salmon (1250 characters maximum):		
Projects linkage to the Draft Strategic Action Plan Conservation Strategies:		

Attachment 2 –Format for Alaska Southwest Salmon Habitat Partnership NFHAP Proposals

Project description should be 3 to 5 pages in its entirety, using a readable font size (11 – 12).

Cover Page: (optional)

Date:

Project title:

NFHAP funds requested:

Match funds or in-kind services provided:

Bristol Bay watersheds in which project will occur (circle): Togiak, Wood River, Upper Nushagak, Lower Nushagak, Mulchatna River, Lake Clark, Lake Iliamna, Naknek, Egegik, Ugashik, Port Heiden, Cold Bay, Near shore marine waters and estuaries.

Project point of contact: Organization, name, title, phone number(s), and e-mail address.

Project abstract

The project abstract describes the type and duration of activity that will take place with the NFHAP funds. This should be a quarter to one-half page in length.

Project narrative

The project narrative clearly identifies the issues the proposal will correct or help solve for the benefit of fish habitat. It must articulate the following information:

- (1) Assessment of needs – describe how projects relate to the conservation strategies identified by the Partnership in its Draft Strategic Action Plan to address likely threats to salmon habitat in Bristol Bay. Describe if proposed project is identified in and supported by other broader landscape issues and larger initiatives, e.g. watershed plans, recovery plans, and multi-year projects.
- (2) Objectives with reference to the resource issue(s) addressed by the project.
- (3) Proposed timeline.
- (4) Methodology – clearly describes methods and approach, and identify whether funds will be used for engineering/design work, for construction, or both. Projects that propose the use of potentially controversial techniques, such as bank hardening by installing rip-rap, should explain why those techniques are appropriate in the specific situation.
- (5) Geographic location (maps optional).
- (6) Number of impacted acres, miles of stream or shore line, or other quantified measure.
- (7) Species / populations of salmon benefited.
- (8) Other partners involved in project.

- (9) Performance measures & outcomes – identification of clear, obtainable, and quantifiable goals and performance measures that will achieve the management goals and objectives of the Strategic Action Plan. State what will be accomplished within the funding. Assessment, education or other non-on-the-ground projects should clearly relate to habitat conservation outcomes, and state how they address strategic priorities of the Partnership.

Applicant Qualifications

Name and describe the qualifications of the key personnel that will be responsible for the project.

Describe or give examples of past similar work that exemplifies your organizations ability to carry out this project.

Budget table and narrative:

The budget table should be a simple line item budget designed so that reviewers can understand how funds are proposed to be spent. Typical line items may include salary, contractual, equipment/supplies, and indirect costs.

Description of Service	Match Dollars	NFHAP Dollars

TOTAL BUDGET SUMMARY:

Total Budget:	Match:	NFHAP Funds:
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The budget narrative will clearly state what entity will receive funding and implement the project, i.e. FWS or a partner or a combination. It should include discussion of direct cost items such as salaries, equipment, consultant services, subcontracts and travel, as well as project matching or cost sharing information. Clearly identify whether the funds will be used for engineering/design work only, construction only, or both. Applicants may cover new administrative costs, but they cannot include administrative costs incurred before project approval.

Narratives should discuss the cost of the project compared to similar conservation activities in that area. While habitat project costs vary widely, project descriptions should at least address how the quality and quantity of habitat improved is a good investment of funds, using a quantitative approach where possible.

Additional information:

Additional information might include photos, maps of project sites, design drawings, etc.

(Attachment 3)

Criteria Used to Score 2011 NFHAP Projects for the Southwest Salmon Habitat Partnership

For each project, first determine eligibility, then rank and assign points using the criteria.

Eligibility – Must be able to answer "yes" to each of the following questions:

- 1) Does project address a salmon habitat resource need?
- 2) Does project provide measurable benefits for protecting salmon habitat?
- 3) Does project address one of the goals and objectives of the Partnership's Draft Strategic Action Plan (www.swakcc.org)?

Ranking Criteria

Points: Assign points for each of the criteria listed below, for a possible total of 80 points (higher points = better score).

- 1) Resource Benefit (30 points maximum)
 - How well the project approach serves to protect, restore, or enhance salmon habitats and salmon species, and how well it provides specific, quantifiable benefits to salmon habitats and populations.
 - How well the project addresses the needs and strategic actions identified in the Draft Strategic Action Plan of the Partnership.
- 2) Performance Measures (20 points maximum)
 - How clearly the proposed project's performance and success can be measured.
 - Extent to which the project implements high priority items.
- 3) Budget (10 points maximum)

Are all major budget items justified in relation to the program objectives and clearly explained in the narrative description?

 - Extent to which all parts of the Budget Narrative and Budget Tables are clear, concise, and complete.
 - Extent to which the Budget Narrative and associated Budget Table(s) accurately reflect all costs, including indirect costs.
- 4) Cost Sharing (10 points maximum).
 - The proposed project leverages federal support with matching funds or in-kind services.
 - All in-kind matches are clearly defined, allowable, and adequately described.
- 5) Applicant Qualifications (10 points maximum)
 - Key personnel are described and have experience pertinent to the project.
 - Past projects are documented that demonstrates the ability to carry out this project.